

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Toshiya Takekuma et al.

Serial No.: 09/581,021



Art Unit: 2768

Filed: Herewith

Examiner: To be assigned

For: GOODS DEALING  
APPARATUS, GOODS,  
DEALING SYSTEM,  
GOODS DEALING  
METHOD, AND STORAGE  
MEDIUM

Atty Docket: 1776/00050

**CORRECTION OF FILING RECEIPT**

Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

Sir:

The title of the invention on the attached Filing Receipt was not entered for the above-captioned matter and we respectfully request that a corrected Filing Receipt be prepared.

Respectfully submitted,

George R. Pettit, Reg. No. 27,369  
Pollock, Vande Sande & Amernick, R.L.L.P.  
1990 M Street, N.W., Suite 800  
Washington, D.C. 20036-3425  
Telephone: 202-331-7111

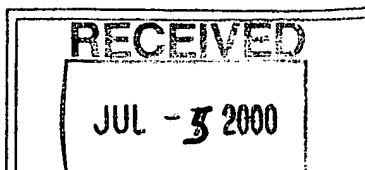
Date: August 17, 2000

## FILING RECEIPT

\*OC00000005215768\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/581,021	06/08/2000	2768	3474	1776/00050	74	45	31

ELZBIETA CHLOPECKA  
POLLOCK VANDE SANDE & AMERICK  
PO BOX 19088  
WASHINGTON, DC 20036-3425

Date Mailed: 06/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

TOSHIYA TAKEKUMA, TOKYO, JAPAN;  
MASANOBU MURANAKA, TOKYO, JAPAN;  
TETSUYA NAKAMUTA, TOKYO, JAPAN;  
YUKO SAITO, TOKYO, JAPAN;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/JP98/05522 12/07/1998

## Foreign Applications

JAPAN 9/337541 12/08/1997  
JAPAN 9/337542 12/08/1997  
JAPAN 9/337543 12/08/1997  
JAPAN 9/337544 12/08/1997  
JAPAN 9/337545 12/08/1997  
JAPAN 10/196529 06/26/1998  
JAPAN 10/196530 06/26/1998  
JAPAN 10/323118 11/13/1998  
JAPAN 10/337416 11/27/1998

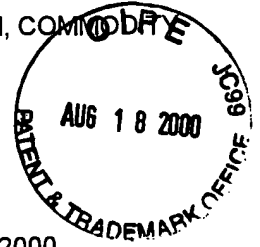
If Required, Foreign Filing License Granted 06/30/2000

Title

GOODS DEALING APPARATUS, GOODS DEALING SYSTEM,  
GOODS DEALING METHOD, AND STORAGE MEDIUM

COMMODITY EXCHANGING APPARATUS, COMMODITY EXCHANGING SYSTEM, COMMODITY  
EXCHANGING METHOD AND STORAGE MEDIUM

Preliminary Class  
705



Data entry by : BARRETO, NGA

Team : OIPE

Date: 06/30/2000

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

EP/GRP



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

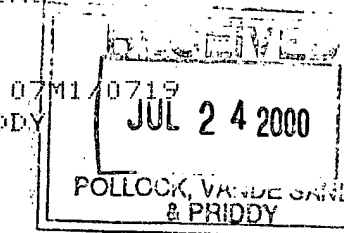
SERIAL NUMBER	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
---------------	-------------	----------------------	---------------------

07/502,744    04/02/90    LEUNG

EXAMINER
----------

T    1274002

POLLOCK, VANDE SANDE & PRIDDY  
P. O. BOX 19088  
WASHINGTON DC 20036



ART UNIT	PAPER NUMBER
----------	--------------

MOSKOWITZ, N

DATE MAILED:  
3682

07/19/00

**RESCINDING ORDER**

The Secrecy Order dated **3-1-91** prohibiting disclosure or publication of the subject matter of the above entitled application under the provisions of Title 35 United States Code (1952) 181-188 is hereby rescinded. Normal prosecution is continued and any suspension thereof because of the secrecy order should now be removed. This rescinding order does not affect the provisions of any classified government contract or existing laws relating to espionage and national security.

Director  
Special Laws Administration Group

Att